

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Moskowitz et al. : Date: December 10, 2001

Serial Number: 09/990,842 : Group Art Unit: Unknown

Filed: 11/21/2001 : Examiner: Unknown

Title: SECURE METHOD AND SYSTEM : IBM CORPORATION  
FOR DETERMINING CHARGES Intellectual Property Law  
AND ASSURING PRIVACY : MD90/201-1  
8501 IBM Drive  
: Charlotte, NC 28262

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**INFORMATION DISCLOSURE STATEMENT (IDS) UNDER 37 CFR 1.97**

**Commissioner For Patents  
Washington, D. C. 20231**

Dear Sir:

The attached Form PTO-1449, and any attached foreign patent office search report, provides a listing of information which may be relevant to the subject application. This IDS is not intended as a representation that better art is not available, nor that the information provided is prior art.

This IDS is submitted under:

  X   37 CFR 1.97(b) - No Fee.

       37 CFR 1.97(c) - No Fee. Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application. This communication is dated \_\_\_\_\_, which is not more than 3 months prior to the mailing of this statement.

       37 CFR 1.97(c) - Fee.

       37 CFR 1.97(d) - Fee, Certification & Petition.

The Commissioner is authorized to charge payments of any associated fees required under 37 CFR 1.17(p) and (i) (1) to Deposit Account No. 09-0469 (IBM).

Respectfully submitted,

By: \_\_\_\_\_

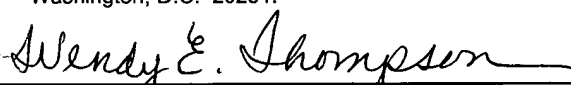
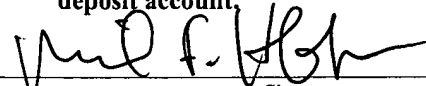
Michael F. Hoffman

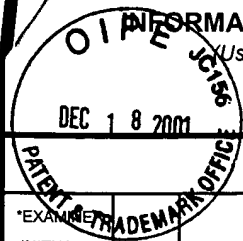
Registration No.: 40,019

Telephone No.: (518) 449-0044

0320 17

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. CHA920010021US1	
In Re Application Of: Moskowitz et al.				
Serial No. 09/990,842	Filing Date 11/21/2001	EXAMINER Unknown	Group Art Unit Unknown	
Title: SECURE METHOD AND SYSTEM FOR DETERMINING CHARGES AND ASSURING PRIVACY				
Address to: Assistant Commissioner for Patents Washington, D.C. 20231				
37 CFR 1.97(b)				
1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.				
37 CFR 1.97(c)				
2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:				
<input type="checkbox"/> the statement specified in 37 CFR 1.97(e);				
OR				
<input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).				

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))			Docket No. <b>CHA920010021US1</b>	
In Re Application: <b>Moskowitz et al.</b>				
Serial No. <b>09/990,842</b>	Filing Date <b>11/21/2001</b>	Examiner <b>Unknown</b>	Group Art Unit <b>Unknown</b>	
<b>SECURE METHOD AND SYSTEM FOR DETERMINING CHARGES AND ASSURING PRIVACY</b>				
<b>Payment of Fee</b> (Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))				
<input type="checkbox"/> A check in the amount of _____ is attached. <input checked="" type="checkbox"/> The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. <b>09-0469 (IBM)</b> as described below. A duplicate copy of this sheet is enclosed.				
<input type="checkbox"/> Charge the amount of _____ <input checked="" type="checkbox"/> Credit any overpayment. <input checked="" type="checkbox"/> Charge any additional fee required.				
<b>Certificate of Transmission by Facsimile*</b>		<b>Certificate of Mailing by First Class Mail</b>		
I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (F		I certify that this document and fee is being deposited on <b>12/10/2001</b> with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.		
_____ (Date)				
_____ Signature		_____ Signature of Person Mailing Correspondence		
_____ Typed or Printed Name of Person Signing Certificate		_____ Typed or Printed Name of Person Mailing Certificate		
<p><b>*This certificate may only be used if paying by deposit account.</b></p> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;">             _____            Signature         </div> <div style="width: 45%;">           Dated:    <b>December 10, 2001</b> </div> </div>				
<b>Michael F. Hoffman - Reg. No. 40,019</b> <b>Hoffman, Warnick &amp; D'Alessandro LLC</b> <b>Three E-Comm Square</b> <b>Albany, New York 12207</b> <b>(518) 449-0044 Telephone</b> <b>(518) 449-0047 Fax</b>				
CC:				

**INFORMATION DISCLOSURE CITATION**

(Use several sheets if necessary)

ATTY DOCKET NO.

CHA920010021US1

SERIAL NO.

09/990,842

Applicant(s): Moskowitz et al.

FILING

11/21/2001

GROUP

Unknown

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	US2001/0039509 A1	11/2001	Dar et al.			
	US2001/0005217 A1	6/2001	Hamilton et al.			
	US 6,211,907 B1	4/2001	Scaman et al.			
	6,163,277	12/2000	Gehlot			
	6,141,610	10/2000	Rothert et al.			
	6,087,963	7/2000	Kobayashi et al.			
	6,064,970	5/2000	McMillan et al.			
	5,825,283	10/1998	Camhi			
	5,797,134	8/1998	McMillan et al.			
	5,548,273	8/1996	Nicol et al.			
	5,499,182	3/1996	Ousborne			

**FOREIGN PATENT DOCUMENTS**

	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO
	WO9921112	4/1999	WO				
	JP2001076035	3/2001	JP				

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)


EXAMINER

DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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Unknown

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	4,843,578	6/1989	Wade			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)


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